

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (12-04)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**1020.00**

## Complete if Known

|                      |                         |
|----------------------|-------------------------|
| Application Number   | 09/978,299              |
| Filing Date          | October 15, 2001        |
| First Named Inventor | Avi J. ASHKENAZI et al. |
| Examiner Name        | Turner, Sharon L.       |
| Art Unit             | 1647                    |
| Attorney Docket No.  | 39780-2630 P1C3         |

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

08-1641

HELLER EHRMAN WHITE & McAULIFFE LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 300      | 2001         | 150      | Utility filing fee     |          |
| 1002         | 200      | 2002         | 100      | Design filing fee      |          |
| 1003         | 200      | 2003         | 100      | Plant filing fee       |          |
| 1004         | 300      | 2004         | 150      | Reissue filing fee     |          |
| 1005         | 200      | 2005         | 100      | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | 0        |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |                      | Extra Claims |                                | Fee from below |                                  | Fee Paid |                                |
|--------------------|----------------------|--------------|--------------------------------|----------------|----------------------------------|----------|--------------------------------|
| Total Claims       | <input type="text"/> | -20** =      | <input type="text" value="0"/> | X              | <input type="text" value="50"/>  | =        | <input type="text" value="0"/> |
| Independent Claims | <input type="text"/> | -3** =       | <input type="text" value="0"/> | X              | <input type="text" value="200"/> | =        | <input type="text" value="0"/> |
| Multiple Dependent |                      |              |                                |                | <input type="text" value="360"/> | =        | <input type="text" value="0"/> |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 50       | 2202         | 25       | Claims in excess of 20                                     |
| 1201         | 200      | 2201         | 100      | Independent claims in excess of 3                          |
| 1203         | 360      | 2203         | 180      | Multiple dependent claim, if not paid                      |
| 1204         | 200      | 2204         | 100      | ** Reissue independent claims over original patent         |
| 1205         | 50       | 2205         | 25       | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**0**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |          |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053     | 130      | 1053     | 130      | Non-English specification  |          |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251     | 120      | 2251     | 60       | Extension for reply within first month                                     |          |
| 1252     | 450      | 2252     | 225      | Extension for reply within second month                                    |          |
| 1253     | 1,020    | 2253     | 510      | Extension for reply within third month                                     | 1,020    |
| 1254     | 1,590    | 2254     | 795      | Extension for reply within fourth month                                    |          |
| 1255     | 2,160    | 2255     | 1,080    | Extension for reply within fifth month                                     |          |
| 1401     | 500      | 2401     | 250      | Notice of Appeal   |          |
| 1402     | 500      | 2402     | 250      | Filing a brief in support of an appeal                                     |          |
| 1403     | 1,000    | 2403     | 500      | Request for oral hearing   |          |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452     | 500      | 2452     | 250      | Petition to revive - unavoidable   |          |
| 1453     | 1,500    | 2453     | 750      | Petition to revive - unintentional   |          |
| 1501     | 1,400    | 2501     | 700      | Utility issue fee (or reissue)   |          |
| 1502     | 800      | 2502     | 400      | Design issue fee   |          |
| 1503     | 1,100    | 2503     | 550      | Plant issue fee  |          |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |          |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809     | 790      | 2809     | 395      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810     | 790      | 2810     | 395      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801     | 790      | 2801     | 395      | Request for Continued Examination (RCE)                                    |          |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**1,020**

## SUBMITTED BY

Name (Print/Type)

Anna L. Barry

Registration No.  
(Attorney/Agent)

51,436

Telephone

(650) 324-7000

Signature

*Anna L. Barry*

Date

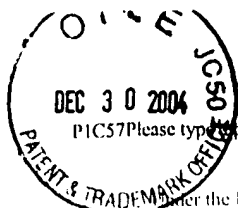
December 30, 2004

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

01/05/2005 GMDR001 00000093 081641 09978299  
01 FC:1253 1020.00 DA



01-07-05

16474

P1C57Please type plus sign (+) inside this box ☐

PTO/SB/21 (6-99)

Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                      |                          |                 |
|--|----------------------|--------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/978,299               |                 |
|  | Filing Date          | October 15, 2001         |                 |
|  | First Named Inventor | Avi J. ASHKENAZI, et al. |                 |
|  | Group/Art Unit       | 1647                     |                 |
|  | Examiner Name        | Turner, Sharon L.        |                 |
| Total Number of Pages in This Submission   |                      | Attorney Docket Number   | 39780-2630 P1C3 |

| ENCLOSURES (check all that apply)   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request for 3 Months<br><input checked="" type="checkbox"/> Information Disclosure Statement with PTO 1449 (7 References)<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Amendment Under 37 CFR. 1.48(b)<br><input checked="" type="checkbox"/> Declaration of Drs. Baker, Gurney and Stewart Under CFR 1.131 (Unexecuted)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):<br>stamped return postcard; WO 00/53756 (pp. 355-356); Copy of "American Type Culture Collection" Fascimile (1 page). |
| Remarks   |   |  |
| AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.   |   |  |

| SIGNATURE OF APPLICANT, ATTORNEY OR AGENT |   |                  |       |
|---|---|------------------|-------|
| Firm or Individual name                   | Anna L. Barry, Reg. No. 51,436<br>HELLER EHRMAN WHITE & McAULIFFE LLP |                  |       |
| Signature                                 |   |                  |       |
| Date                                      | December 30, 2004   | Customer Number: | 35489 |

| CERTIFICATE OF EXPRESS MAILING  |               |      |                   |
|---|---------------|------|-------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: Mail Stop Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. |               |      |                   |
| Typed or printed name   | Sylvia Rogers |      |                   |
| Signature   |               | Date | December 30, 2004 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.